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Sheet	1	of	1
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Application Number	10/022,951
Filing Date	12/14/2001
First Named Inventor	Richard B. Streeter
Art Unit	3731
Examiner Name	Bradford C. Pantuck
Attorney Docket Number	VIA-15

## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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Signature**

Bradford Cantrell

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 4

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Application Number	10/022,951
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Group Art Unit	3731
Examiner Name	B. Pantuck
Attorney Docket Number	VIA-15

### U.S. PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
BCP	1	5,941,896		Kerr	08-24-1999	
	2	5,947,995		Samuels	09-07-1999	
	3	5,954,741		Fox	09-21-1999	
	4	5,954,745		Gertler et al.	09-21-1999	
	5	5,957,949		Leonhardt et al.	09-28-1999	
	6	5,976,172		Homsma et al.	11-02-1999	
	7	5,980,555		Barbut et al.	11-09-1999	
	8	5,989,281		Barbut et al.	11-23-1999	
	9	3,671,979		Moulopoulos	06-27-1972	
BCP	10	3,996,938		Clark, III	12-14-1976	
	11	4,056,854		Boretos et al.	11-08-1977	
	12	4,425,908		Simon	01-17-1984	
	13	4,527,549		Gabbay	07-09-1985	
	14	4,902,272		Milder et al.	02-20-1990	
	15	5,108,419		Reger et al.	04-28-1992	
	16	5,160,342		Reger et al.	11-03-1992	
	17	5,163,953		Vince	11-17-1992	
	18	5,254,097		Schock et al.	10-19-1993	
BCP	19	5,300,086		Gory et al.	04-05-1994	
	20	5,324,304		Rasmussen	06-28-1994	

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Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
BCP	21		EP 0408245		American Medical...	01/16/1991		
BCP	22		WO 99/33414		Veseley	07/08/1999		
BCP	23		WO 99/15223		Carden Corp.	04/01/1999		
BCP	24		WO 00/47139		Heartport, Inc.	08/17/2000		

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Sheet	2	of	4
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<b>First Named Inventor</b>	<b>Richard B. Streeter</b>
<b>Group Art Unit</b>	<b>3731</b>
<b>Examiner Name</b>	<b>B. Pantuck</b>
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Bcp	25	5,329,942		Gunther et al.	07-19-1994	
	26	5,370,685		Stevens	12-06-1994	
	27	5,397,351		Pavcnik et al.	03-14-1995	
	28	5,411,552		Andersen et al.	05-02-1995	
	29	5,509,428		Dunlop	04/23/1996	
	30	5,545,214		Stevens	08/13/1996	
	31	5,549,626		Miller et al.	08/27/1996	
	32	5,554,185		Block et al.	09/10/1996	
	33	5,571,215		Sterman et al.	11/05/1996	
Bcp	34	5,584,879		Reimold et al.	12/17/1996	
	35	5,607,465		Camilli	03/04/1997	
	36	5,682,906		Sterman et al.	11/04/1997	
	37	5,702,368		Stevens et al.	12/30/1997	
	38	5,713,951		Garrison et al.	02/03/1998	
	39	5,797,960		Stevens et al.	08/25/1998	
	40	5,800,457		Gelbfish	09/01/1998	
	41	5,800,525		Bachinski et al.	09/01/1998	
	42	5,814,097		Sterman et al.	09/29/1998	
	43	5,848,964		Samuels	12/15/1998	
Bcp	44	5,855,597		Jayaraman	01/05/1999	

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<b>Examiner Name</b>	B. Pantuck
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Bep	45	5,855,601		Bessler et al.	01-05-1999	
	46	5,876,367		Kaganov et al.	03-02-1999	
	47	5,893,869		Barnhart et al.	04-13-1999	
	48	5,718,725		Sterman et al.	02-17-1998	
	49	5,910,154		Tsugita et al.	06-08-1999	
	50	5,911,734		Tsugita et al.	06-15-1999	
	51	5,925,063		Khosravi	07-20-1999	
	52	5,928,261		Ruiz	07-27-1999	
	53	5,935,139		Bates	08-10-1999	
	54	5,549,665		Vesely et al.	08-27-1996	
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	56	5,840,081		Andersen et al.	11-24-1998	
	57	6,371,970		Khosravi et al.	04-16-2002	
	58	6,309,399		Barbut et al.	10-30-2001	
	59	6,235,045		Barbut et al.	05-22-2001	
	60	6,334,869		Leonhardt et al.	01-01-2002	
	61	6,395,014		Macoviak et al.	05-28-2002	
	62	5,827,237		Macoviak et al.	10-27-1998	
	63	5,769,816		Barbut et al.	06-23-1998	
	64	5,814,064		Daniel et al.	09-29-1998	

## FOREIGN PATENT DOCUMENTS

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Sheet 4 of 4

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Group Art Unit	3731
Examiner Name	B. Pantuck
Attorney Docket Number	VIA-15

### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
BCP	65	Antonatos PG, et al., Effect of the positioning of a balloon valve in the aorta on coronary flow during aortic regurgitation, J Thorac Cardiovasc Surg; 1984 Jul; 88(1): 128-33	
BCP	66	Antonatos PG, et al., Intraventricular Pumping At The Mitral Ring In Mitral Regurgitation; Life Support Syst; 1985; 3 Suppl 1:167-71	
BCP	67	Antonatos PG, et al., The Use Of A Small Intra-Aortic Balloon To Increase Coronary Flow; Life Support Syst; 1983 Jul-Sep 1(3): 151-64	
BCP	68	Frederiksen J, et al. Use Of A Counterpulsation Balloon As A Substitute For The Pulmonic Valve: A New Application; Ann Thorac Surg; 1986 Jun; 41(6): 616-21	
BCP	69	Matsubara T, et al., Balloon Catheter With Check Valves For Experimental Relief Of Acute Aortic Regurgitation; Am Heart J; 1992 Oct; 124(4): 1002-8	
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BCP	71	Siwek LG, et al., Acute Control Of Pulmonary Regurgitation With A Balloon "Valve". An experimental investigation, J Thorac Cardiovasc Surg; 1985 Sep; 90(3):404-9	
BCP	72	Cartwright RS, et al., Combined Replacement Of Aortic And Mitral Valves; J.A.M.A., April 7, 1962; 86-90.	

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